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Year: 2020

Version: Accepted manuscript

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Please cite the original version:

Virkki, E. N., Oksanen, H., Diarbakerli, E., Helenius, L., Pape, B., Pajulo, O., Gerdhem, P. & Helenius, I. (2020). Health-Related Quality of Life Outcomes of Instrumented Circumferential Spinal Fusion for Pediatric Spondylolisthesis: A Comparison With Age and Sex Matched Healthy Controls. *Spine* 45(23),E1572-E1579.

<https://doi.org/10.1097/BRS.0000000000003681>

Health-Related Quality of Life Outcomes of Instrumented Circumferential Spinal Fusion for Pediatric Spondylolisthesis. A comparison with age and gender matched healthy controls

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Introduction

Spondylolisthesis is one of the most common causes of low back pain and radicular symptoms in the adolescent population^{1,2}. It is divided in low-grade and high-grade spondylolisthesis according to Meyerding classification: a vertebral slip on a sagittal lumbar radiograph less than 50% (Meyerding I-II) is low-grade and a slip over 50% (Meyerding III-V) is high-grade^{3,4}. Low-grade spondylolisthesis is treated with pain medication, restriction of sports and operated only if there is persistent pain after conservative treatment⁵⁻⁸. In pediatric high-grade spondylolisthesis, an operative treatment with a short posterolateral fusion has been suggested due to the risk of slip progression and higher degree of symptoms⁸⁻¹¹. Instrumented reduction seems to improve fusion rate as compared with in situ fusion¹², although the long-term health-related quality of life has been better with circumferential in situ fusion than with reduction¹³.

Previous smaller studies have suggested improvement in the health-related quality of life (HRQOL) after spinal fusion in children with spondylolisthesis^{14,15}, but guidance is limited in children as the largest series included also young adults¹⁶. The latter multicenter study showed improvement in the HRQOL in all the areas of SRS-22 questionnaire after the surgery in majority of patients, especially in the high-grade spondylolisthesis patients.

In this study we aimed to examine whether the HRQOL improves in children after operative treatment for spondylolisthesis using an instrumented reduction and circumferential spinal fusion. We also wanted to compare the HRQOL in these children with age and gender matched healthy controls and whether there is a difference in the HRQOL between operatively treated low-grade and high-grade spondylolisthesis patients. The hypothesis was that the HRQOL improves after surgery, is better in low-grade spondylolisthesis patients compared to high-grade spondylolisthesis patients and is at similar level in these patients as compared to the healthy controls.

26 <Fig.1-A and 1-B near here!>

27 **Materials and methods**

28 *Patients*

29 Twenty-six consecutive patients aged between 10 through 18 years with operatively treated
30 spondylolisthesis were prospectively included from May 2009 to November 2017 in this study. All
31 of the patients had pedicle screw instrumentation with intercorporeal fusion using TLIF cage or
32 autologous structural bone graft. Neural elements were widely decompressed for nerve roots (L5,
33 S1) and cauda equinae. Reduction of the vertebra was performed in all patients expect for the one
34 with spondyloptosis (slip 100%) who underwent transsacral instrumentation and bone grafting in
35 situ. Patients with low-grade spondylolisthesis had pedicle screws inserted into L5 and S1 to reduce
36 the spondylolisthesis and patients with high-grade spondylolisthesis underwent instrumentation
37 from L4 to S1 with iliac or S2AI screws¹⁷⁻²⁰. Transforaminal lumbar intercorporeal fusion cage was
38 inserted in addition to standard posterolateral spinal arthrodesis. All patients were operated by a
39 single orthopedic spine surgeon (IH) and had a minimum 2-year follow-up.

40 *Outcome parameters*

41 Clinical and radiographic was collected prospectively preoperatively, at 6 months, and at two year
42 follow-up. The HRQOL was analyzed using Scoliosis Research Society-24 (SRS-24) outcome
43 questionnaire²¹. The follow-up time in all patients was a minimum of 2 years (mean 3.3 years, range
44 2 to 10 years). Perioperative data as in operation time, blood loss, and levels of instrumentation was
45 collected. Radiographic outcome was assessed from a standing radiographs of the spine. Standing
46 spinal radiographs were taken prior to operation, 6 months and 2 years after the operation. Of these
47 radiographs the percentage slip of the vertebra, lumbosacral angle, pelvic incidence, pelvic tilt,
48 sacral slope, and lumbar lordosis (T12-S1) were measured as radiographic parameters²². The high-
49 grade spondylolisthesis patients were divided into balanced and unbalanced groups^{23,24}. For follow-

50 up visits, the status of the instrumentation was evaluated (intact, broken screws or rods, signs of
51 loosening). A routine CT scan was not obtained to evaluate spinal union at the final follow-up.

52 *Healthy controls*

53 Healthy controls were obtained from our previous population based study in which healthy adolescents and
54 adults were asked to fill out a modified version of the original SRS health-related quality of life
55 questionnaire (SRS-24)²¹: the SRS-22r questionnaire^{25,26}. In this study 272 healthy controls were selected
56 from a population register and were invited to complete and return the SRS-22r
57 questionnaire between January 2012 and December 2015. Two controls from this cohort were matched
58 with each patient for age (+/- 2 years) and gender. Age matching was done with the age of the
59 surgically treated patients in their last follow-up.

60 *Scoliosis Research Society Outcome Questionnaire*

61 The SRS-24 is the original disease specific questionnaire developed by the Scoliosis Research
62 Society²¹. It originally measured and evaluated the HRQOL in operatively treated patients with
63 adolescent idiopathic scoliosis. However, it has been broadly accepted and used to evaluate the
64 HRQOL in patients treated operatively for other spinal problems as well, including pediatric lumbar
65 spondylolisthesis²⁷⁻²⁹. The SRS-24 questionnaire consists of 24 questions concerning 7 domains:
66 pain, general self-image, function from back condition, general level of activity, postoperative self-
67 image, postoperative function, and satisfaction. Every question is scored from one to five and the
68 maximum score of this questionnaire is 120. The higher the score, the better the outcome. The
69 questions from 16 to 24 concerns the treatment and can therefore be filled out post treatment only.

70 SRS-22r is an improved version of the SRS-24 questionnaire. Its questions are either
71 exactly the same or close to the original SRS-24 questionnaire questions. In our study the control
72 group members had filled SRS-22r questionnaire. Because the control group were healthy
73 individuals without any treatment, only questions 1 to 15 from the original SRS-24 (preoperative
74 domains) were used and compared with the similar questions of the SRS-22r (questions 1, 2, 4, 5, 6,
75 8, 9, 11, 12, 14, 15, 17, 18, 19, 20 from the SRS-22r). The domains were formed as follows: pain

76 (SRS-24: 1, 2, 3, 6, 8, 11; SRS-22r: 1, 2, 4, 8, 11, 14), general self-image (SRS-24: 5, 14, 15; SRS-
77 22r: 6, 19, 20), general function (SRS-24: 7, 12, 13; SRS-22r: 9, 15, 18), and general activity (SRS-
78 24: 4, 9, 10; SRS-22r: 5, 12, 17)^{30,31}. There are eight exactly the same questions with exactly similar
79 options in the SRS-24 and the SRS-22r and these questions were also compared across the groups
80 (SRS-24: 1, 2, 3, 4, 5, 6, 7, 8; SRS-22r: 1, 2, 4, 5, 6, 8, 9, 11).

81 *Statistical analysis*

82 Statistical comparisons of radiographic parameters were performed with unpaired t tests. Linear
83 mixed models for repeated measures analysis were used to study the variation of SRS domains over
84 time. We log transformed the mirror transforms of the domain scores prior to analysis in order to
85 prevent left skew in the residuals of those models. We applied the Kruskal-Wallis test on the
86 original domain scores to compare patients with controls. The p-values in pairwise comparisons
87 were adjusted for time. Significance level was at <0.05.

88 *Ethical Committee approval*

89 Ethical committee approval was obtained from local ethical boards. For the spondylolisthesis
90 patients ethical committee did not request informed consent as they underwent clinically
91 standardized treatment protocol without additional examinations. Written informed consent was
92 acquired from the normative population and if needed from their guardians.

93

94 **Results**

95 A total of 26 consecutive adolescents (mean [SD] age at the time of surgery 14.7 years [\pm 1.9 years]) who
96 underwent operative treatment for spondylolisthesis were included in this study (Table 1). Eleven (42%) of
97 the patients had low-grade and 15 (58%) had a high-grade slip. One of the high-grade spondylolisthesis was
98 spondyloptosis (slip 100%, Meyerding V). The indication for surgery was either a low-grade slip with
99 ongoing symptoms after a year of conservative treatment or a high-grade spondylolisthesis. Conservative
100 treatment consisted of restriction of sports, pain medication and in some cases brace treatment to relief the
101 pain. Associated pain scoliosis was seen in two (18%) patients with a low-grade spondylolisthesis and in 10

102 (67%) patients with a high-grade spondylolisthesis (Table 2). In these patients the lumbar scoliosis resolved
103 after spinal fusion for spondylolisthesis. Therefore, we assumed this deformity to be part of the
104 deformity itself or the pain component of the spondylolisthesis. Additionally, idiopathic scoliosis was found
105 in 3 (27%) patients in the low-grade spondylolisthesis group and six (40%) patients in the high-grade
106 spondylolisthesis group. Two patients with a high-grade spondylolisthesis had earlier undergone
107 spinal arthrodesis for adolescent idiopathic scoliosis.

108 Seventeen (65%) of the patients were asymptomatic at the end of the follow-up and
109 did not develop any complications.

110 <Fig 2-A and 2-B near here!>

111 *Radiographic outcome*

112 The mean preoperative slip in the low-grade patients was 25% (\pm 13%) and 67% (\pm 15%) in the
113 high-grade patients (Table 2). After instrumented reduction the mean slips were 6% (\pm 7%) and
114 21% (\pm 25%), respectively. Similarly, the lumbosacral angle remained at 10° degrees pre- and
115 postoperatively in the low-grade group, but improved from 14° (\pm 11°) to 10° (\pm 6°) degrees in the
116 high-grade group. Unbalanced pelvis occurred preoperatively in eight (53%) of the high-grade
117 patients and in seven (47%) at two-year follow-up (N.S.). An example of patient's radiographs prior
118 and after the operation are seen in **Figures 1 A, B and 2 A,B.**

119 *Complications*

120 Three (12%) patients had a cerebrospinal fluid leak during surgery, which was noted and closed
121 during the primary operation without further events. None of the patients developed a persistent
122 neurologic deficit, but five (19%) patients had radicular pain at least occasionally postoperatively
123 during the follow-up time. Two (8%) of these patients presented with chronic postsurgical pain
124 persisting 2 years. Seven (27%) of the patients had reoperations for any reason during the follow-up
125 time. Three (12%) of the patients (one with low-grade and two with high-grade slip) developed a
126 pseudoarthrosis, two of them have undergone a revision procedure, one of them twice (5 years and

127 8 years after the primary operation). One (4%) patient developed spondylolisthesis at the level
128 above the index procedure (L4-5) necessitating fusion over this level eight months after the primary
129 operation. Four (15%) patients had mechanical discomfort from the iliac screws and they were later
130 removed. In one of these four patients a persistent postoperative cerebrospinal spinal fluid leakage
131 required a re-revision to seal the leak. There were no deep surgical site infections.

132 *Quality of life and SRS scores in surgically treated spondylolisthesis patients*

133 Twenty-two (85%) patients completed the SRS-24 questionnaire preoperatively and twenty-three
134 (88%) patients filled the same questionnaire two years after the surgery. The SRS-24 pain and
135 activity domains improved significantly from preoperative to 2-year follow-up ($p \leq 0.007$ for both)
136 (Table 3). With the exception of postoperative function and satisfaction, the scores of the SRS-24
137 questionnaire were higher for high-grade spondylolisthesis patients than for low-grade
138 spondylolisthesis patients, but only the difference in the self-image domain was significant
139 ($p=0.008$, results not shown).

140 *Comparison of Quality of life and SRS scores in operatively treated spondylolisthesis patients and*
141 *controls*

142 The SRS scores in pain, self-image and function domains and the total SRS score were significantly
143 lower in the surgically treated spondylolisthesis patients at their 2-year follow-up visit than in the
144 age and gender matched controls ($p < 0.05$ for all comparisons, table 4). The spondylolisthesis
145 patients reached controls only in the activity domain during the 2-year follow-up time. When low-
146 grade and high-grade spondylolisthesis patients were separately compared to controls it was noted
147 that the low-grade spondylolisthesis patients had statistically significantly lower scores in pain
148 ($p=0.002$), self-image ($p=0.027$) and function ($p < 0.001$) domains. The high-grade spondylolisthesis
149 patients had statistically significantly lower scores in the pain ($p=0.020$) and the function ($p < 0.001$)
150 domains (table 5).

151

152 **Discussion**

153 To the best of our knowledge, this is the first prospective study comparing the HRQOL of the
154 operatively treated spondylolisthesis patients with age and gender matched controls. Our study
155 shows that the HRQOL improves in pain and activity domains in spondylolisthesis patients after
156 surgery but reaches equal level compared to healthy controls only in the activity domain.

157 Surprisingly, the low-grade spondylolisthesis patients had lower values in all the domains expect
158 for the activity of the SRS questionnaire than the healthy controls, whereas the high-grade
159 spondylolisthesis patients had lower values only in the pain and function domains.

160 *Comparison with previous data*

161 In previous studies, the HRQOL has improved after surgical treatment for pediatric
162 spondylolisthesis¹⁴⁻¹⁶. Bourassa-Moreau et al¹⁵ published a study 2013 where they measured
163 HRQOL of the conservatively treated and the surgically treated high-grade spondylolisthesis
164 patients during 2-year follow-up. In their study there were 23 patients in the surgically treated
165 group and only 5 patients in the conservatively treated group. The decision of the surgical treatment
166 was not standardized but left for the treating surgeon. The age of the patients was between 10 and
167 20 years at initial presentation. In their study the HRQOL improved in all the domains of SRS-22
168 for the surgically treated high-grade spondylolisthesis patients and stayed the same in the
169 conservatively treated high-grade spondylolisthesis patients. They did not compare the HRQOL in
170 conservatively vs surgically treated patients. Tsirikos et al¹⁴ examined fusion rates and the HRQOL
171 of the low-grade spondylolisthesis patients who failed the conservative treatment and went through
172 in situ posterolateral arthrodesis without instrumentation. In their study all 36 adolescent patients
173 (aged between 9.8 to 17.3 years) had spinal fusion but the pars interarticularis fracture
174 (spondylolysis) persisted at least in one side in most of the patients. In their study the HRQOL
175 improved statistically significantly in all the domains of the SRS questionnaire following surgery.

176 In a prospective multicenter study done by Bourassa-Moreau et al¹⁶ the HRQOL of
177 the surgically treated spondylolisthesis patients improved in all the domains of the SRS-22
178 questionnaire 2 years after the surgery compared to the SRS-22 prior the surgery. In their study
179 young adults were included to the study cohort, as the age limit was between 10 and 25 years at
180 surgery. When comparing separately low-grade and high-grade spondylolisthesis patients, the pain
181 and function domains of the low-grade spondylolisthesis patients improved after surgery whereas in
182 high-grade spondylolisthesis patients all of the domains improved statistically significantly after
183 surgical treatment for spondylolisthesis. In their study indication for surgery and technique of the
184 surgical intervention were left to the decision of the surgeon. In a long-term study patients fused in
185 situ for high-grade spondylolisthesis had a similar pain and mental health SRS scores as compared
186 with healthy controls, while self-image and function scores were significantly lower, but the
187 difference in means was small³². In the current study the pain and activity domains improved from
188 preoperative to 2-year follow-up in the surgically treated adolescents. Despite improvement, the
189 SRS total score, pain, self-image, and function domains remained at significantly lower level at the
190 end of follow-up than in controls.

191 Seven (27%) of the patients underwent re-operation during follow-up. Two of these
192 were due to non-union, one had junctional issue necessitating extension of instrumentation, and four
193 patients required removal of symptomatic iliac screws. In an evidence-based review of
194 spondylolisthesis, Longo et al.¹² observed pseudoarthrosis in 5.5% of 165 patients undergoing
195 reduction as compared with 17.8% of 101 undergoing fusion in situ. The risk of non-union (12%) in
196 the current series after instrumented reduction and circumferential spinal fusion was higher than in
197 this review. Iliac screw augmentation of S1 pedicle screws has improved the fusion rate of high-
198 grade spondylolisthesis²⁰. In accordance with our study, however, a large number of their patients
199 (53%) also required symptomatic iliac screw removal.

200 Carreon et al³³ have defined the minimum clinically important difference (MCID) for
201 the SRS-22r questionnaire for appearance/self-image, activity and pain domains after surgical
202 correction of adolescent idiopathic scoliosis. In this study the MCID for the pain domain was 0.20,
203 0.08 for the activity domain, and 0.98 for the appearance domain. There are no similar definitions to
204 surgically treated children with spondylolisthesis. In our study the improvement was 0.68 in the
205 pain, 0.19 in the self-image, and 0.89 scores in the activity domain. Improvements in the pain and
206 activity domains are significantly above the MCID levels as defined for operatively treated scoliosis
207 patients and it can be assumed that the changes in these domains are over the minimum clinically
208 important difference also in the spondylolisthesis patients. In our previous study adolescents
209 operated for **adolescent idiopathic scoliosis (AIS)** had similar scores of the SRS-24 domains
210 except for the function domain at five-year follow-up compared to age and gender matched
211 controls²⁸. We hypothesize that pain may have more pronounced effect on health-related quality of
212 life than pure spinal deformity does.

213 *Limitations and strengths*

214 Children needing surgical treatment for spondylolisthesis are relatively rare even in an academic
215 pediatric spine unit. Thus, the number of surgically treated patients was relatively small. One
216 limitation of this study was the somewhat different questionnaires used (the SRS-24 and SRS-22r).
217 However, we chose to keep the same original SRS-24 questionnaire in the surgical treatment group
218 in order to provide data from preoperative to minimum 2 years follow-up. We used the 15 most
219 similar preoperative questions from the SRS-24 and SRS-22r to provide comparable questionnaires,
220 including 8 questions that were exactly the same. With these questions we formed the pain, activity,
221 self-image, and function domains of SRS-24. The strengths of this study include a comparison with
222 an age and gender matched healthy control group consisting of two matched controls to each
223 surgically treated spondylolisthesis patient. This is a prospective, consecutive cohort study, where
224 the indications for surgery were clear. All the patients were operated using a similar surgical

225 technique by a single orthopedic spine surgeon. The follow-up time was a minimum of two years.

226 The SRS-24 questionnaire used in this study is standardized, validated and widely used.

227 **Conclusions**

228 In conclusion, the SRS-24 scores in pain and activity domains improved statistically significantly

229 after the surgery for spondylolisthesis during 2-year follow-up time. However the scores in pain,

230 self-image and function domains were significantly lower as compared to age and sex matched

231 healthy controls.

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Table 1. Clinical characteristics

Variable	Spondylolisthesis patients (n=26)	Healthy controls (n=52)
Age at 2-year FU (y)	16.7 ± 1.94	17.6 ± 3.8
Gender (male)	23% (6/26)	23% (12/52)
FU time (y)	3.3 ± 1.75	
Amount of slip		
• low grade (0-50%)	42% (11/26)	
• high grade (51-100%)	58% (15/26)	
Levels fused		
• L5-S1	46% (12/26)	
• L4-S1	54% (14/26)	
Blood loss (mL)	391 ± 185	
Operative time (hr)	3.8 ± 1.3	

* FU = follow-up

Scores are mean values and SD

Table 2. Radiographic parameters of the study groups

Radiographic parameters	Low-grade (n=11)	High-grade (n=15)	p value
Slip (%)			
• preoperative	25% ± 13%	67% ± 15%	<0.001
• 2-yr FU	6% ± 7%	21% ± 25% *	0.041
Lumbosacral angle			
• preoperative	10° ± 8°	14° ± 11°	0.322
• 2-yr FU	10° ± 8°	10° ± 6°	0.884
Pelvic tilt			
• preoperative	18° ± 9°	30° ± 8°	0.004
• 2-yr FU	20° ± 5°	26° ± 8°	0.044
Sacral slope			
• preoperative	44° ± 12°	46° ± 8°	0.628
• 2-yr FU	40° ± 13°	46° ± 6°	0.164
Unbalanced pelvis			
• preoperative	N/A	57% (8/14)	
• postoperative	N/A	47% (7/15)	
Pelvic incidence	60° ± 10°	72° ± 10°	0.007

* in the high-grade group one patient had spondyloptosis (100% slip) and no reduction of the slip was performed in the operation

Scores are mean values and SD

Table 3. The changes in SRS-24 outcome questionnaire.

SRS Domain	preoperative (N= 22)	6 months follow-up (N=20)	2-year follow-up (N=23)	p value
Total	3.59 ± 0.61	3.82 ± 0.52	3.86 ± 0.68	0.059*
Pain	3.27 ± 0.93	4.24 ± 0.89	3.92 ± 1.03	0.007*
Self-image	4.03 ± 0.63	4.11 ± 0.39	4.28 ± 0.57	0.052*
Function	3.85 ± 0.50	3.96 ± 0.52	4.10 ± 0.46	0.065*
Activity	3.53 ± 1.06	3.81 ± 1.18	4.22 ± 1.25	0.001*
Postop self-image	N/A	3.18 ± 0.57	3.26 ± 0.67	0.627**
postop function	N/A	2.42 ± 1.17	3.00 ± 1.41	0.072**
satisfaction	N/A	4.02 ± 0.60	3.91 ± 0.56	0.260**

* 2-year follow-up scores compared to preoperative scores

** 2-year follow-up scores compared to 6 months follow-up scores

Scores are mean values and SD

Table 4. Comparison of SRS outcomes between surgically treated patients and age and gender matched controls

SRS Domain	2-year follow-up (n=23)	Healthy controls (n= 52)	p value
Total*	4.10 ± 0.76	4.73 ± 0.33	<0.0001
Pain**	3.97 ± 1.02	4.76 ± 0.45	<0.001
Self-image	4.28 ± 0.57	4.57 ± 0.50	0.018
Function	4.09 ± 0.46	4.91 ± 0.19	<0.0001
Activity	4.22 ± 1.25	4.66 ± 0.46	0.482
Total of 8 same questions	4.03 ± 0.89	4.67 ± 0.41	<0.001

* 2-year follow-up SRS scores excluding the questions regarding postoperative state

** the postoperative pain question is excluded

Scores are mean values and SD

Table 5. Comparison between SRS domains in the low-grade vs high-grade slips and healthy controls

SRS Domain	Low-grade 2-year follow-up (n=10)	Healthy controls (n= 52)	p value*	High-grade 2-year follow-up (n=13)	p value**
Total ^	3.81 ± 0.95	4.73 ± 0.33	<0.001	4.33 ± 0.51	0.002
Pain^^	3.68 ± 1.25	4.76 ± 0.45	0.002	4.19 ± 0.79	0.020
Self-image	4.13 ± 0.55	4.57 ± 0.50	0.027	4.38 ± 0.59	0.457
Function	3.88 ± 0.62	4.91 ± 0.19	<0.0001	4.26 ± 0.20	<0.0001
Activity	3.67 ± 1.54	4.66 ± 0.46	0.150	4.64 ± 0.81	0.816
Total of 8 same question	3.74 ± 1.05	4.67 ± 0.41	0.009	4.26 ± 0.69	0.067

^ 2-year follow-up SRS scores excluding the questions regarding postoperative state

^^ The postoperative pain question is excluded

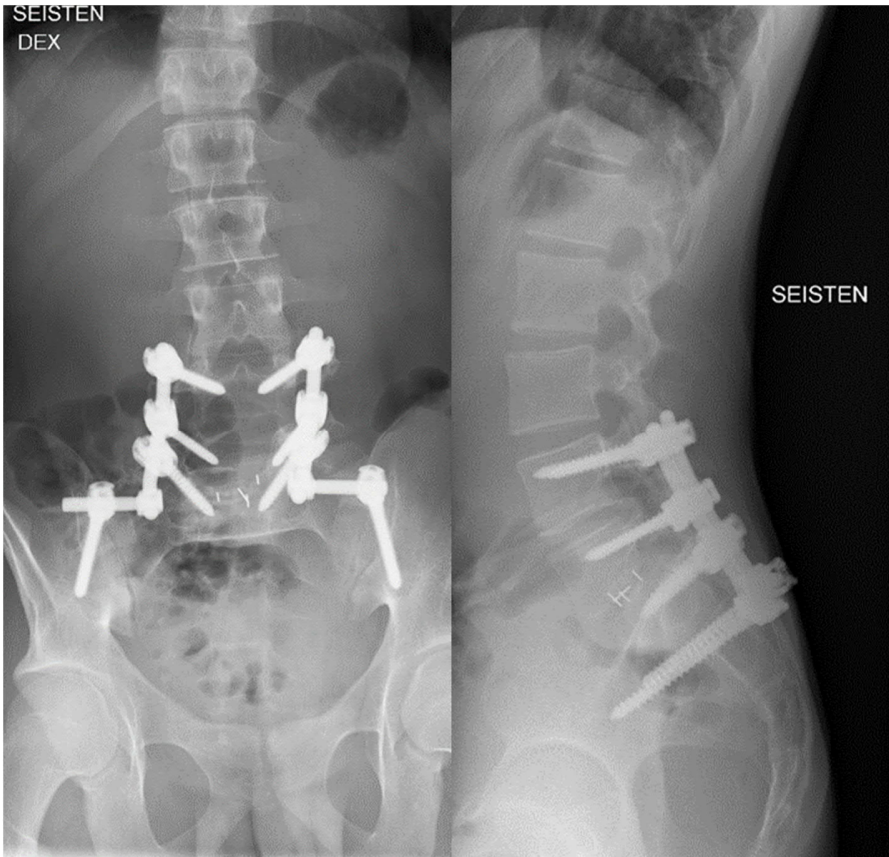
*low-grade srs-24 2-year follow-up vs healthy controls srs-22

** high-grade srs-24 2-year follow-up vs healthy controls srs-22

Scores are mean values and SD



Figures 1-A and 1-B. A fifteen-year-old boy with a high-grade spondylolisthesis (slip 58%). Fig. 1-A Posteroanterior and lateral (Fig. 1-B) standing lumbar spine radiograph



Figures 2-A and 2-B. The same patient as in the Figure 1 two years after L5 and S1 nerve root decompression and circumferential spinal fusion with instrumented reduction from L4 to S1 with iliac screws